

SWT Audit, Governance and Standards Committee Forward Plan 2019/20

| <b>Meeting Date</b> | <b>Report Deadline</b> | <b>Draft Agenda Items</b>  | <b>Lead Officer</b>   |
|---------------------|------------------------|--|---|
| 26 June 2019        | 17 June 2019           | Grant Thornton External Audit - Audit Fees<br>Grant Thornton External Audit - Audit Update<br>SWAP Internal Audit – Audit Plan 2018/19 Outturn<br>2018/19 Treasury Management Outturn Report   | Grant Thornton<br>Grant Thornton<br>Alastair Woodland<br>Steve Plenty   |
| 31 July 2019        | 22 July 2019           | Annual Governance Statement 2018/19<br>Approval of the Statement of Accounts<br>Grant Thornton External Audit – Audit Findings Report<br>Assessment of Going Concern Status<br>Fire Risk Assessment  | Amy Tregellas<br>Paul Fitzgerald<br>Geri Daly/Aditi Chandramouli<br>Paul Fitzgerald<br>Simon Lewis/James Barrah                                       |
| 25 September 2019   | 16 September 2019      | Grant Thornton External Audit – Annual Audit Letter 2018/19<br>Grant Thornton External Audit – Progress & Update Report<br>SWAP Internal Audit – Progress Update 2018/19<br>Summary of Overdue Level 4/5 Actions<br>Corporate Governance Action Plan Update<br>Corporate Risk Management Update<br>GDPR Action Plan Update | Geri Daly/Aditi Chandramouli<br>Geri Daly/Aditi Chandramouli<br>Alastair Woodland<br>Amy Tregellas<br>Amy Tregellas<br>Amy Tregellas<br>Amy Tregellas |
| 11 December 2019    | 2 December 2019        | SWAP Internal Audit – Progress Report 2017/18<br>2018/19 Grant Certification Report<br>6-Month Review of Treasury Management Activity  | Alastair Woodland<br>Alastair Woodland<br>Paul Fitzgerald   |
| 11 March 2020       | 2 March 2020           | SWAP Internal Audit – Progress Report 2018/19<br>Corporate Risk Management Update<br>Review of Effectiveness of Internal Audit 2018/19<br>Annual Governance Statement 2018/19<br>Summary of Overdue Level 4/5 Actions  | Alastair Woodland<br>Amy Tregellas<br>Amy Tregellas<br>Amy Tregellas<br>Amy Tregellas   |